



PTO/SB/01A (08-03)

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	Methods and Compositions for Modulating T Lymphocytes
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
<input type="checkbox"/> The attached application, or	
<input checked="" type="checkbox"/> Application No. <u>10/764,330</u> , filed on <u>01/23/2004</u> ,	
<input type="checkbox"/> as amended on _____ (if applicable);	
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.	
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	

FULL NAME OF INVENTOR(S)	
Inventor one:	Michael P. Cooke
Signature:	<u>Michael P. Cooke</u>
Citizen of:	US
Inventor two:	Karsten Sauer
Signature:	<u>Karsten Sauer</u>
Citizen of:	Germany
Inventor three:	Tim Wiltshire
Signature:	<u>Tim Wiltshire</u>
Citizen of:	New Zealand
Inventor four:	Lisa Tarantino
Signature:	<u>Lisa M. Tarantino</u>
Citizen of:	US
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on <u>1</u> additional form(s) attached hereto.	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 2 of 2

Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name or Surname			
Colin		Fletcher			
Inventor's Signature	<i>Colin Fletcher</i>			Date <i>7/13/04</i>	
Residence: City	Del Mar	State	CA	Country	US
Mailing Address 14011 Mango Drive, #E					
Mailing Address					
City	Del Mar	State	CA	Zip	92014
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name or Surname			
Ben		Wen			
Inventor's Signature	<i>Ben</i>			Date <i>7-12-2004</i>	
Residence: City	Encinitas	State	CA	Country	US
Mailing Address 834 Summersong Court					
Mailing Address					
City	Encinitas	State	CA	Zip	92024
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name or Surname			
Inventor's Signature				Date	
Residence: City		State		Country	Citizenship
Mailing Address					
Mailing Address					
City		State		Zip	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Michael P. Cooke

Application No./Patent No.: 10/764,330 Filed/Issue Date: 01/23/2004

Entitled: Methods and Compositions for Modulating T Lymphocytes

IRM LLC a corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

August 3, 2004

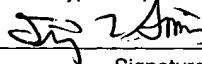
Date

858-812-1547

Telephone Number

Timothy L. Smith

Typed or printed name



Signature

General Power of Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ASSIGNMENT

COPY

In return for good and valuable consideration paid to the undersigned inventor(s) (hereafter called the "ASSIGNOR"), by:

IRM, LLC.
c/o Sofia House
48 Church Street
Hamilton HM LX, Bermuda

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(s) hereby sells, assigns and transfers to ASSIGNEE his entire right, title and interest to the invention entitled:

COMPOUNDS AND COMPOSITIONS AS PROTEIN KINASE INHIBITORS

For which application for Letters Patent of the United States was filed on January 23, 2004 as Application No. 10/764,330 and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination, or foreign counterpart thereof for the full term or terms for which the same may be granted.

The ASSIGNOR(s) agrees to execute all papers necessary in connection with the application and any continuation, divisional, substitute, reissue or reexamination applications thereof or corresponding foreign applications, and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The ASSIGNOR(s) agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding, which may be declared concerning this application or any continuation, divisional, substitute, reissue or reexamination thereof, or corresponding foreign applications, and also to cooperate with the ASSIGNEE in every way possible in obtaining evidence and going forward with such interference, litigation, or other legal proceeding.

The ASSIGNOR(s) agree to perform all affirmative acts which may be necessary to obtain a grant of valid United States or foreign patents to the Assignee.

The ASSIGNOR(s) hereby authorizes and requests the Commissioner of Patents and the authorities of foreign country to issue any and all Letters Patents resulting from said application or any continuation, divisional, substitute, reissue or reexamine applications thereof, or foreign applications, to the said ASSIGNEE, as assigned of the entire interest, and hereby covenants that it has full right to convey the entire interest herein assigned, and that they have not executed and will not execute, any agreement in conflict herewith.

The ASSIGNOR(s) hereby grant SCOTT W. REID, Reg. No. 48,097, HUGH WANG Reg. No. 47,163, TIMOTHY L. SMITH Reg. No. 35,367, and all other attorneys employed by The Genomics Institute of the Novartis Research Foundation, which has a business address of 10675 John Jay Hopkins Drive, San Diego, CA 92121, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

WITNESS my hand at San Diego, CA, this 12th day
of July, 2004.



Michael P. Cooke

STATE OF CALIFORNIA)

)

ss.

COUNTY OF SAN DIEGO)

On July 12, 2004, before me, the undersigned Notary Public, personally appeared Michael P. Cooke.

✓

personally known to me

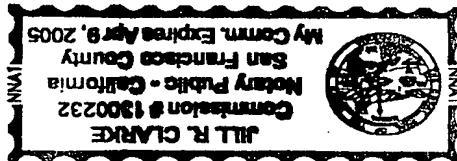
or

proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon before of which the person acts, executed the instrument.

WITNESS my hand and official seal.

Jill R. Clarke
Notary Public



WITNESS my hand at San Diego, California, this 27th day
of July, 2004.

Karsten Sauer
Karsten Sauer

STATE OF CALIFORNIA)

)

ss.

COUNTY OF SAN DIEGO)

On July 27, 2004, before me, the undersigned Notary Public, personally appeared
Karsten Sauer.

✓

personally known to me

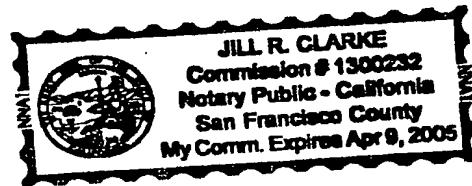
or

proved to me on the basis of satisfactory evidence

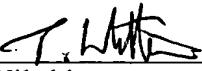
to be the person whose name is subscribed to the within instrument, and acknowledged to me that he
executed the same in his authorized capacity, and that by his signature on the instrument the person, or
the entity upon before of which the person acts, executed the instrument.

WITNESS my hand and official seal.

Jill R. Clarke
Notary Public



WITNESS my hand at San Diego CA, this 16th day
of July, 2004.


Tim Wiltshire

STATE OF CALIFORNIA)

)

ss.

COUNTY OF SAN DIEGO)

On July 16, 2004, before me, the undersigned Notary Public, personally appeared Tim
Wiltshire.

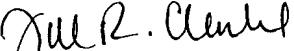
 personally known to me

or

 proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument, and acknowledged to me that he
executed the same in his authorized capacity, and that by his signature on the instrument the person, or
the entity upon before of which the person acts, executed the instrument.

WITNESS my hand and official seal.



Notary Public



WITNESS my hand at San Diego, this 14th day
of July, 2004.
Lisa M. Tarantino
Lisa Tarantino

STATE OF CALIFORNIA)

)

ss.

COUNTY OF SAN DIEGO)

On July 14, 2004, before me, the undersigned Notary Public, personally appeared Lisa Tarantino.

✓

personally known to me

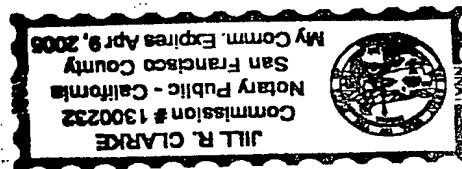
or

proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon before of which the person acts, executed the instrument.

WITNESS my hand and official seal.

Jill R. Clarke
Notary Public



WITNESS my hand at San Diego, CA, this 13 day
of July, 2004.

Colin Fletcher
Colin Fletcher

STATE OF CALIFORNIA)

) ss.

COUNTY OF SAN DIEGO)

On July 13, 2004, before me, the undersigned Notary Public, personally appeared Colin Fletcher.



personally known to me

or

proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon before of which the person acts, executed the instrument.

WITNESS my hand and official seal.

Jill R. Clarke
Notary Public



WITNESS my hand at San Diego, CA, this 12th day
of July, 2004.

Ben Wen
Ben Wen

STATE OF CALIFORNIA)

) ss.

COUNTY OF SAN DIEGO)

On July 12th, 2004, before me, the undersigned Notary Public, personally appeared Ben
Wen.

✓ personally known to me

or

_____ proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument, and acknowledged to me that he
executed the same in his authorized capacity, and that by his signature on the instrument the person, or
the entity upon before of which the person acts, executed the instrument.

WITNESS my hand and official seal.

Jill R. Clarke
Notary Public

